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PTO/SB/21 (02-04)

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FORM**

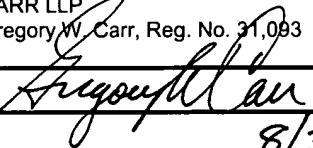
(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/821,042	
	Filing Date	04/08/2004	
	First Named Inventor	Chawla	
	Art Unit	2131	
	Examiner Name	To be assigned	
Total Number of Pages in This Submission	18	Attorney Docket Number	AUS920030805US1

**ENCLOSURES (Check all that apply)**

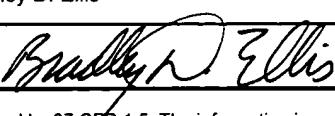
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): 2 IDS References; Post card
Remarks		
Supplemental IDS with copies of two references.		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	CARR LLP Gregory W. Carr, Reg. No. 31,093
Signature	
Date	8/30/04

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ATTORNEY DOCKET NO.  
AUS920030805US1 (IBM 2832000)

PATENT APPLICATION  
SERIAL NO. 10/821,042



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Anil Jagdish Chawla, et al.

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Serial Number: 10/821,042

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Group Art Unit: 2131

Filed: April 8, 2004

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For: METHOD AND SYSTEM FOR  
DISTINGUISHING RELEVANT  
NETWORK SECURITY THREATS  
USING COMPARISON OF REFINED  
INTRUSION DETECTION AUDITS AND  
INTELLIGENT SECURITY ANALYSIS

Examiner: To be assigned

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on: 8/30/04  
Bradley D. Ellis  
Bradley D. Ellis

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicant(s) wish to  
bring the items cited in the attached Form PTO/SB/08 to the attention of the Examiner. A copy of  
each item is enclosed for the convenience of the Examiner.

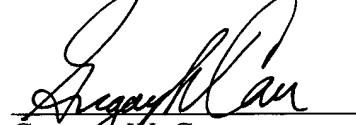
No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way reflects the relative pertinence. The references cited are not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that the items listed in the attached Form PTO/SB/08 are properly citable against the above-identified application as prior art.

Applicant(s) consider the invention to be distinguishable over the references cited.

As this Information Disclosure Statement is being filed before the issuance of the first office action, no fee is enclosed. However, if a fee were to be required, please charge any fee (other than an issue fee) required during the pendency of this U.S. patent application to Deposit Account No. 50-0605 of CARR LLP.

Respectfully submitted,

CARR LLP

  
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Reg. No. 31,093

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NO.: AUS920030805US1	SERIAL NO.: 10/821,042
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICANT: Anil Jagdish Chawla et al	EXAMINER: To be assigned
(Use several sheets if necessary)		FILING DATE: 04/08/2004	GROUP: 2131

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Figures Appear
		Number	Kind Code <sup>2</sup>			
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					

## FOREIGN PATENT DOCUMENTS

Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup>	Foreign Patent Document		Kind Code <sup>5</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Number <sup>4</sup>	Code <sup>5</sup>					
	BA							
	BB							
	BC							
	BD							

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

CA				
CB		ANTHES, Gary H., "Computerizing Common Sense"; COMPUTERWORLD, <a href="http://www.computerworld.com/news/2002/story/0,11280,69881,00.html">www.computerworld.com/news/2002/story/0,11280,69881,00.html</a> ; Apr. 8, 2002; Computerworld, Inc., U.S.A.		
CC		STATSOFT; "Cluster Analysis"; <a href="http://www.statsoftinc.com/textbook/stcluan.html">www.statsoftinc.com/textbook/stcluan.html</a> ; 2003; StatSoft, Inc., U.S.A.		
CD				

EXAMINER:

DATE CONSIDERED:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to application.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issue the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check mark here if English language Translation is attached.